

**JA Glynn Investments, LLC / JAG Capital
Management LLC
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Privacy Policy

JA Glynn Investments LLC / JAG Capital Management LLC (JAGCM)/JA Glynn & Co are committed to maintaining the confidentiality, integrity and security of your personal and financial data. We consider this information to be privileged and held in confidence between you and JA Glynn Investments/JAG Capital Management/JAGCM.

JA Glynn Investments/JAG Capital Management/JAGCM collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms;
- Information about your transactions with us or with others; and
- Information we receive from a consumer reporting agency

It is our policy *not* to disclose any nonpublic information about you to anyone, except as permitted by law, to service your accounts. We also adhere to this policy for all of our closed and inactive accounts.

With the aging of the U.S. population, financial exploitation of seniors is a serious and growing problem. Regulators strongly encourage you provide us with a trusted contact, someone that you authorize us reach out to in the event we ever suspect you are the victim of exploitation. We could also reach out to a trusted contact person if we suspect that you may be suffering from dementia or other forms of diminished capacity. Please contact us to discuss adding a contact to your accounts.

JA Glynn Investments/JAG Capital Management/JAGCM restrict access to your personal and account information to those employees and authorized agents who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards to guard your nonpublic information. The firm employs reasonable measures to protect against unauthorized access or use of your information in connection with the disposal of any reports containing said information. The firm maintains data backups offsite.